



How can we improve $|V_{xb}|$ Determinations?

Snowmass 2021: Theory meets experiment on $|V_{ub}|$ and $|V_{cb}|$



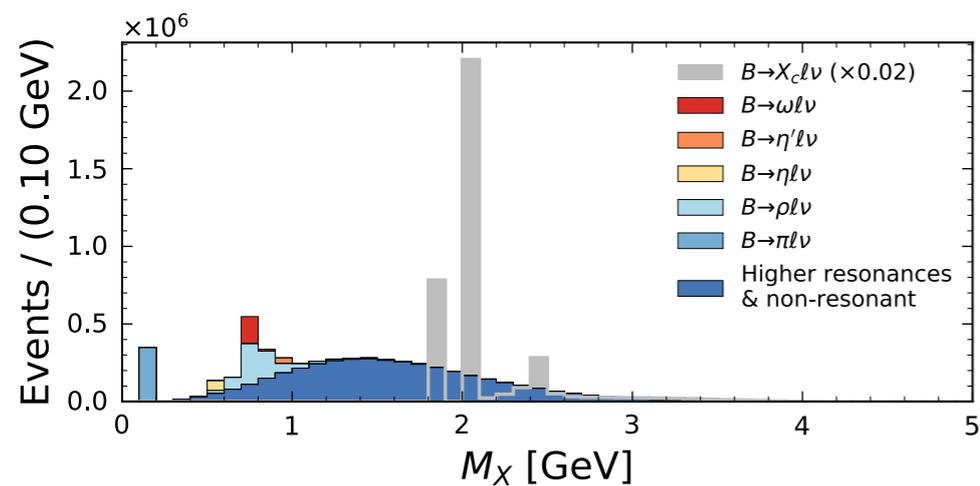
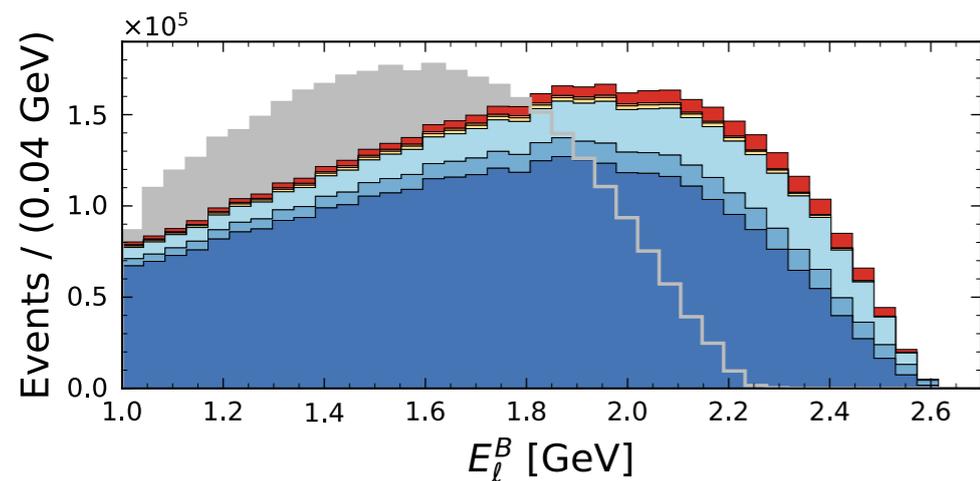
UNIVERSITÄT **BONN**



Caveats on inclusive $|V_{ub}|$



Phase-Space Coverage



$$|V_{ub}| = \sqrt{\frac{\Delta \mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell)}{\tau \Delta \Gamma(B \rightarrow X_u \ell \bar{\nu}_\ell)}}$$

Cut on E_ℓ^B, M_X

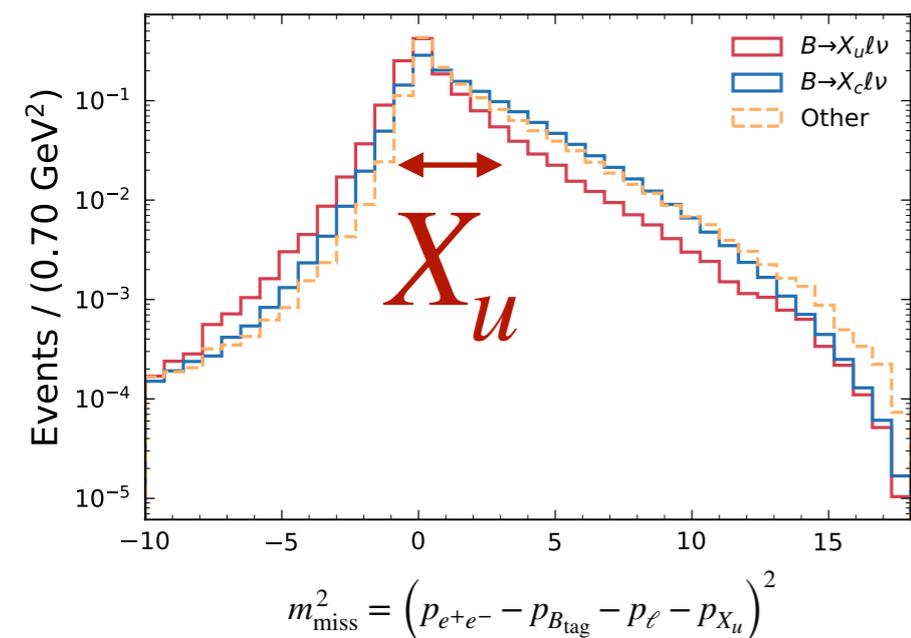
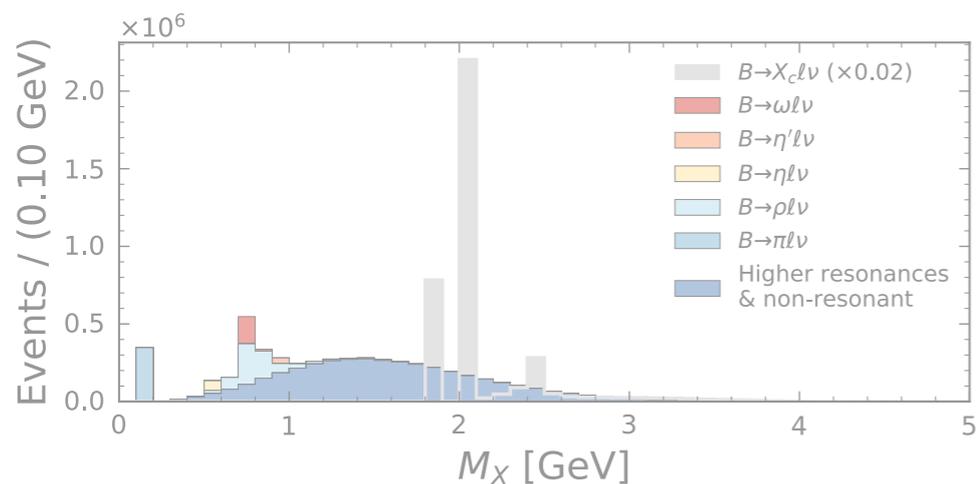
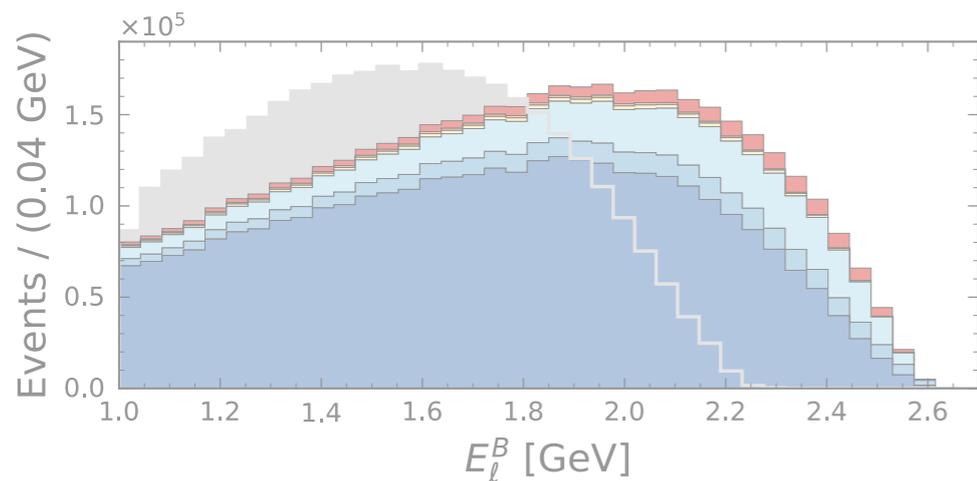
Theory error gets large
Experimental uncert. small

high cut

Theory error gets small
Experimental uncert. large

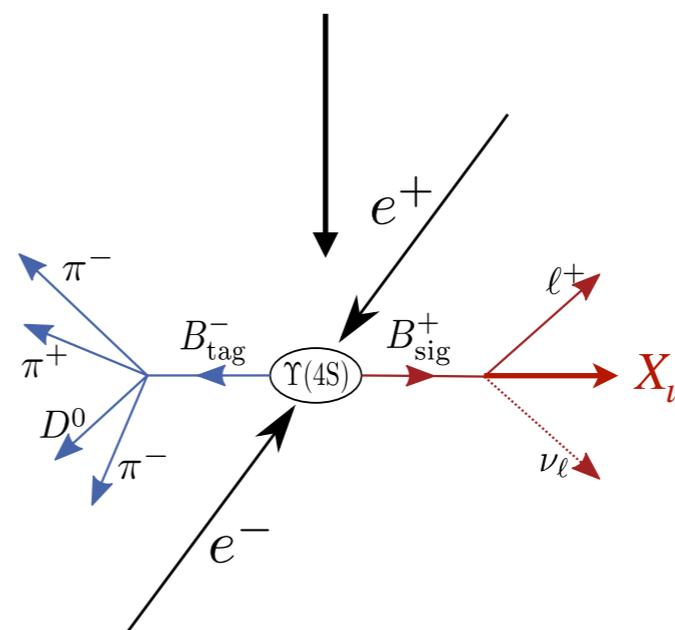
low cut

Phase-Space Coverage

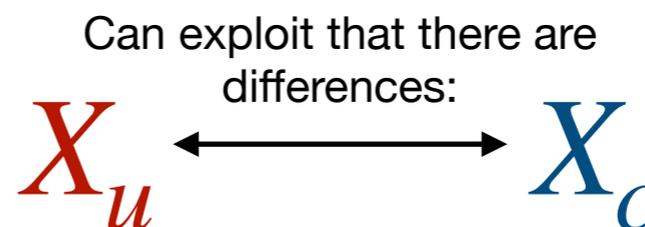


$$m_{\text{miss}}^2 = (p_{e^+e^-} - p_{B_{\text{tag}}} - p_{\ell} - p_{X_u})^2$$

Clear **separation** of $b \rightarrow u\ell\bar{\nu}_\ell$ from $b \rightarrow c\ell\bar{\nu}_\ell$ only possible in corners of phase-space



(Often) use hadronic tagging & multivariate (or regular) background suppression



Higher multiplicity
Often come with charged and neutral **Kaons**
D* decays (slow pions)
(Slightly lower E_ℓ)

Direct cuts on m_X, E_ℓ problematic
(i.e. direct shape-function dependence)

Ok, but what's the problem?

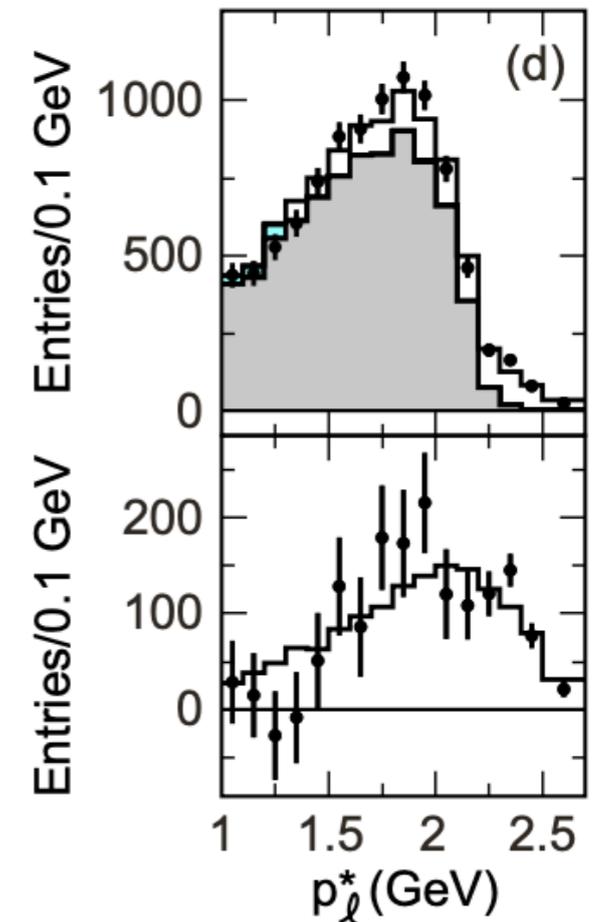
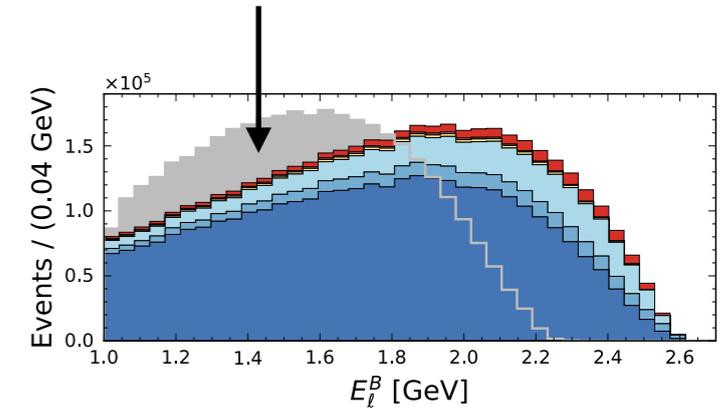
Abstract

We present the partial branching fraction for inclusive charmless semileptonic B decays and the corresponding value of the CKM matrix element $|V_{ub}|$, using a multivariate analysis method to access $\sim 90\%$ of the $B \rightarrow X_u \ell \nu$ phase space. This approach dramatically reduces the theoretical uncertainties from the b -quark mass and non-perturbative QCD compared to all previous inclusive measurements. The results are based on a sample of 657 million $B\bar{B}$ pairs collected with the Belle detector. We find that $\Delta\mathcal{B}(B \rightarrow X_u \ell \nu; p_\ell^{*B} > 1.0 \text{ GeV}/c) = 1.963 \times (1 \pm 0.088_{\text{stat.}} \pm 0.081_{\text{sys.}}) \times 10^{-3}$. Corresponding values of $|V_{ub}|$ are extracted using several theoretical calculations.

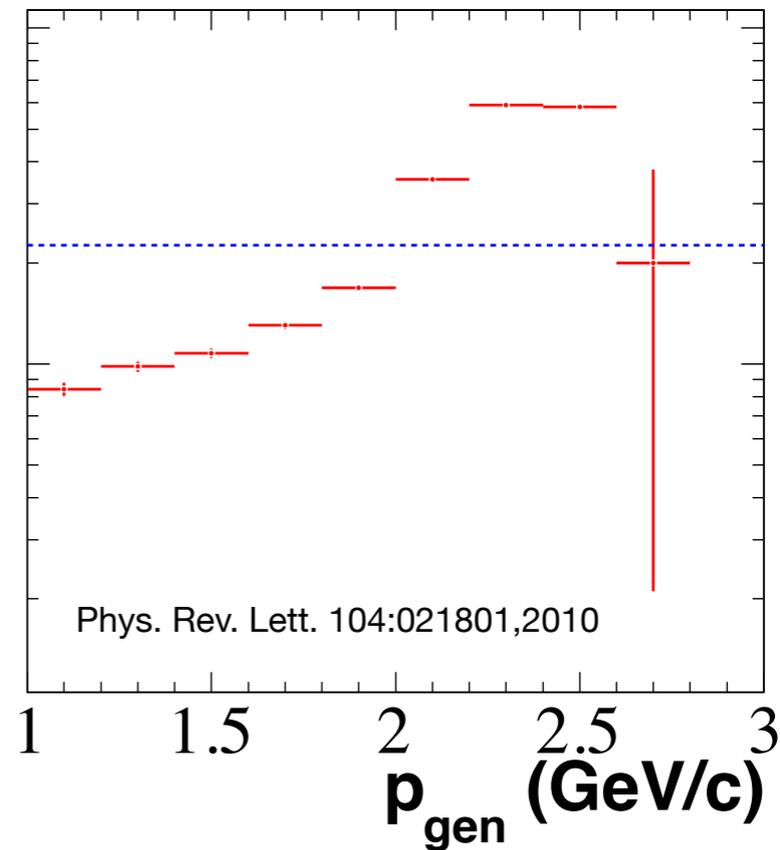
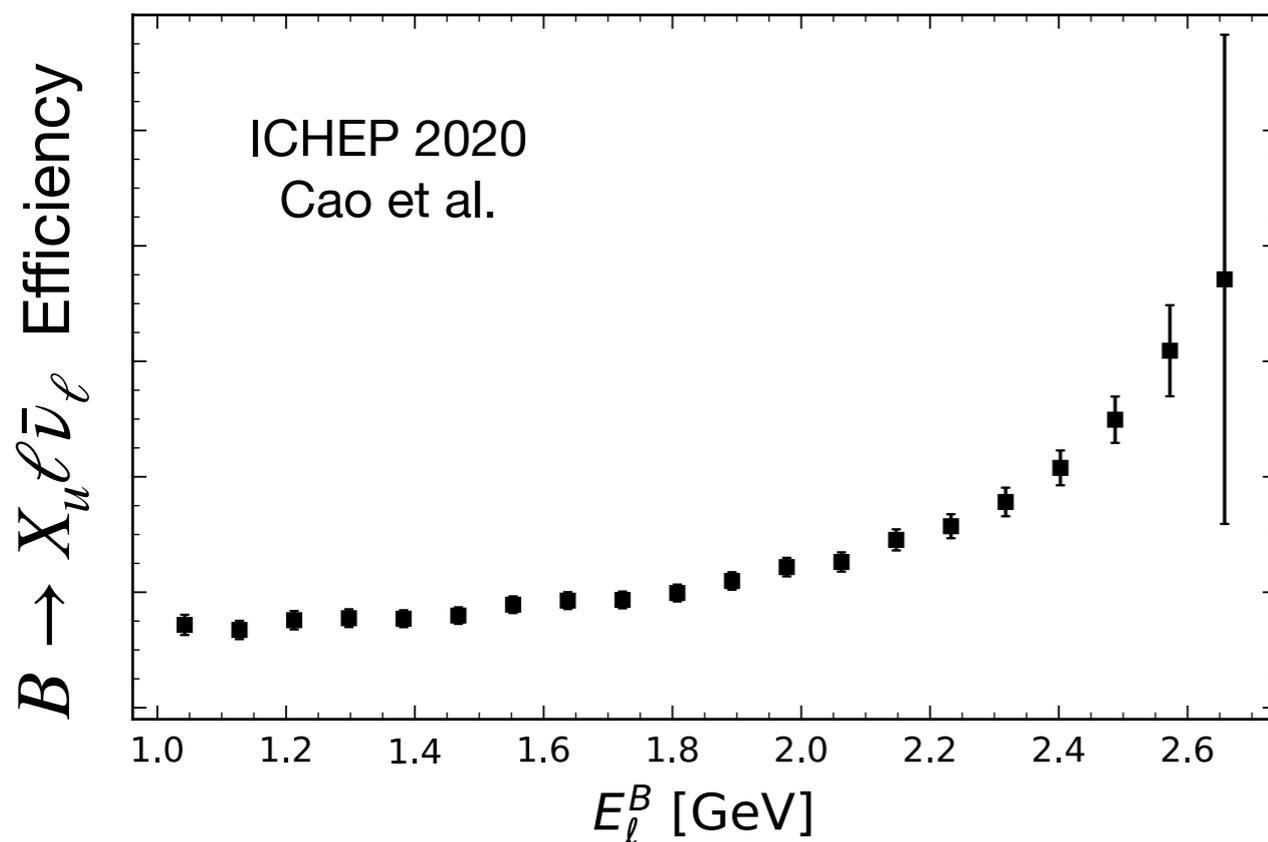
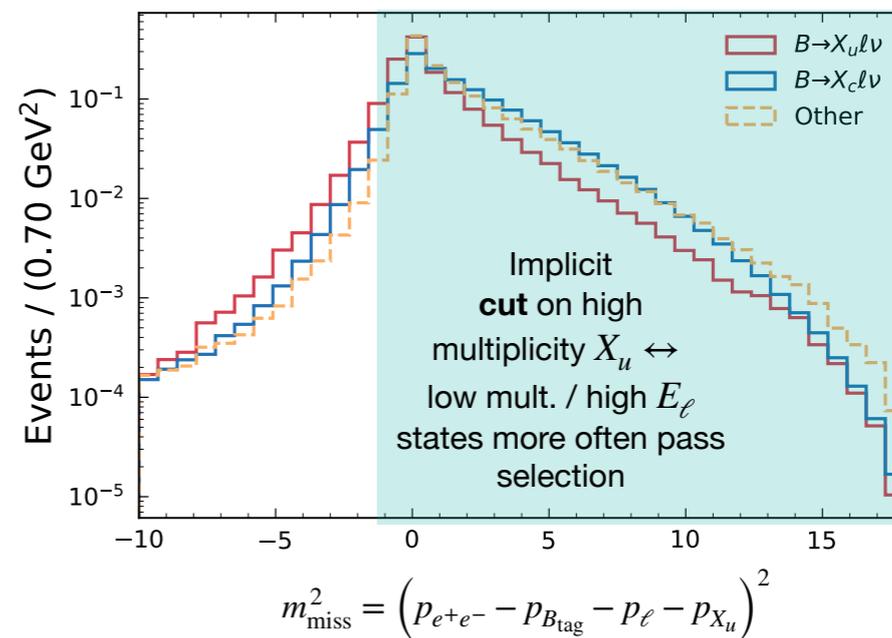
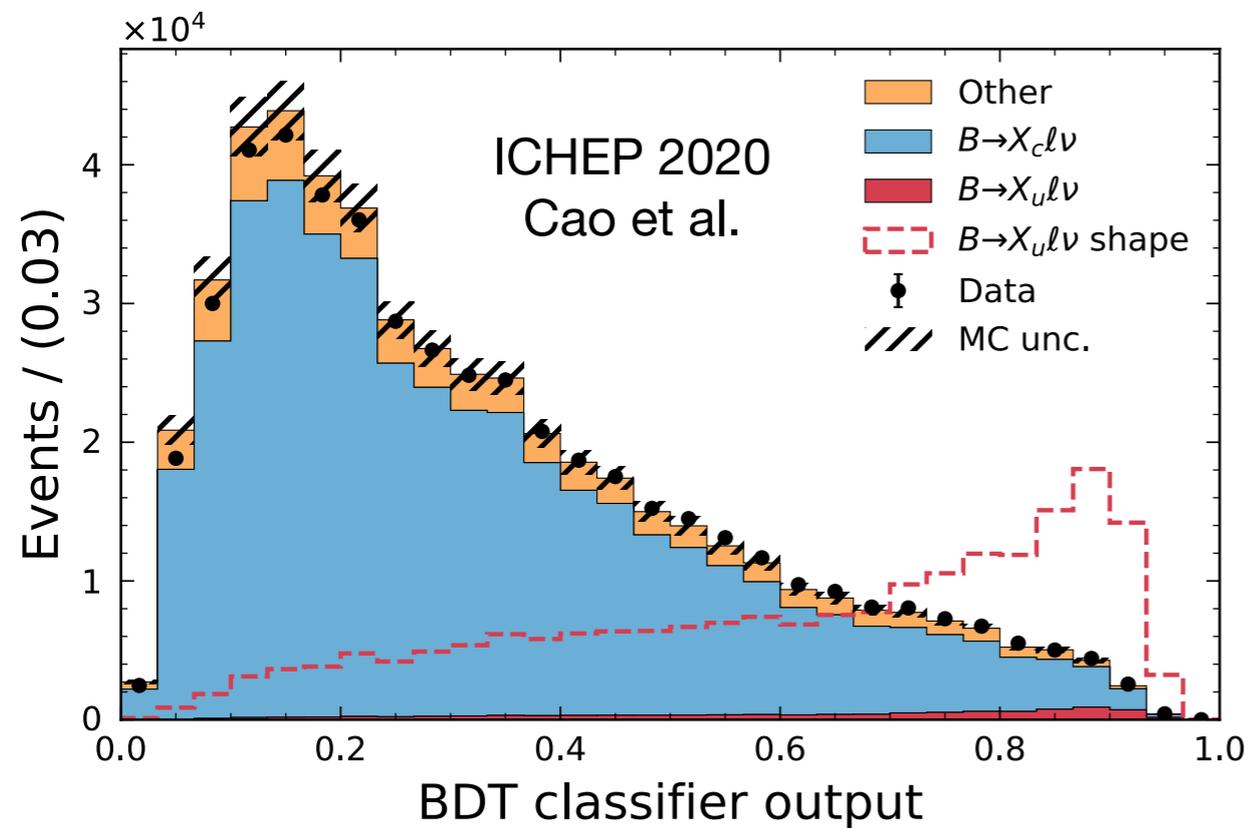
We report measurements of partial branching fractions for inclusive charmless semileptonic B decays $\bar{B} \rightarrow X_u \ell \bar{\nu}$, and the determination of the CKM matrix element $|V_{ub}|$. The analysis is based on a sample of 467 million $\Upsilon(4S) \rightarrow B\bar{B}$ decays recorded with the BABAR detector at the PEP-II e^+e^- storage rings. We select events in which the decay of one of the B mesons is fully reconstructed and an electron or a muon signals the semileptonic decay of the other B meson. We measure partial branching fractions $\Delta\mathcal{B}$ in several restricted regions of phase space and determine the CKM element $|V_{ub}|$ based on different QCD predictions. For decays with a charged lepton momentum $p_\ell^* > 1.0 \text{ GeV}$ in the B meson rest frame, we obtain $\Delta\mathcal{B} = (1.80 \pm 0.13_{\text{stat.}} \pm 0.15_{\text{sys.}} \pm 0.02_{\text{theo.}}) \times 10^{-3}$ from a fit to the two-dimensional $M_X - q^2$ distribution. Here, M_X refers to the invariant mass of the final state hadron X and q^2 is the invariant mass squared of the charged lepton and neutrino. From this measurement we extract $|V_{ub}| = (4.33 \pm 0.24_{\text{exp.}} \pm 0.15_{\text{theo.}}) \times 10^{-3}$ as the arithmetic average of four results obtained from four different QCD predictions of the partial rate. We separately determine partial branching fractions for \bar{B}^0 and B^- decays and derive a limit on the isospin breaking in $\bar{B} \rightarrow X_u \ell \bar{\nu}$ decays.

Comes at a cost

reduced
to an acceptable level



The cost: model dependence



Similar for Phys.Rev. D86 (2012) 032004

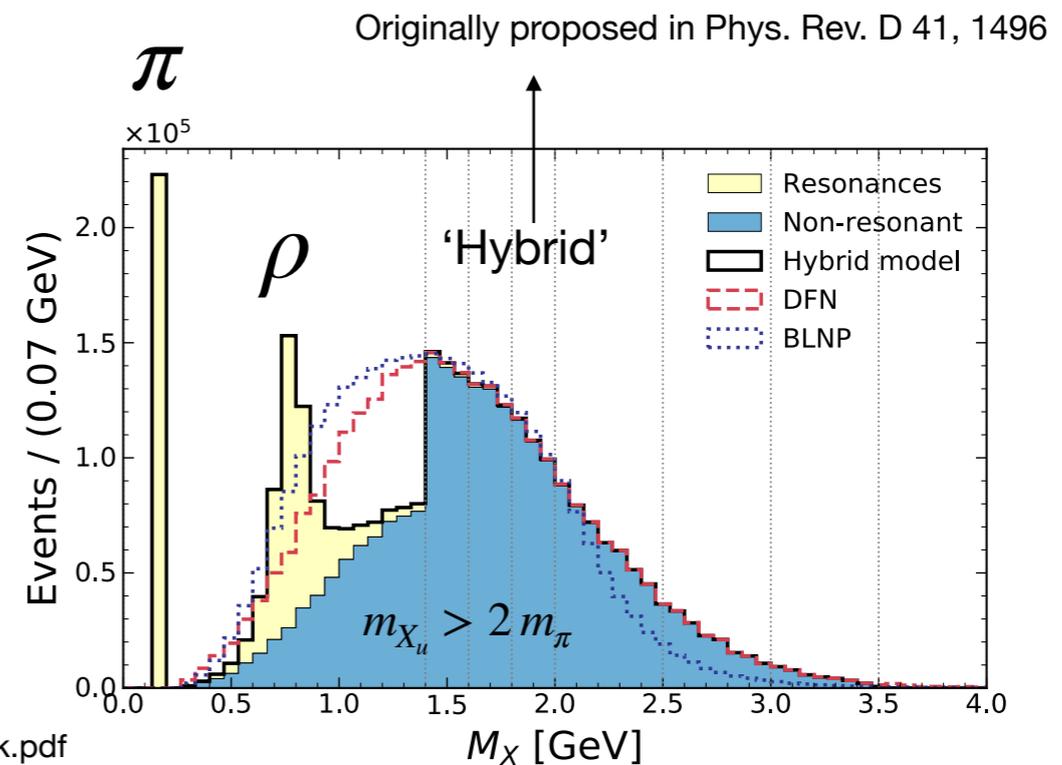
Estimated by variations of **underlying theory assumptions** and **Hybrid** model parameters used to determine (and correct for) selection efficiencies

Tables from Phys. Rev. Lett. 104:021801,2010 and Phys.Rev. D86 (2012) 032004

Phase space restriction	$M_X - q^2$
Data statistical uncertainty	7.1
MC statistical uncertainty	1.1
Track efficiency	0.7
Photon efficiency	1.0
π^0 efficiency	0.9
Particle identification	2.3
K_L production/detection	1.6
K_S production/detection	1.2
Shape function parameters	5.4
Shape function form	1.5
Exclusive $\bar{B} \rightarrow X_u \ell \bar{\nu}$	1.9
$s\bar{s}$ production	2.7
B semileptonic branching ratio	1.0
D decays	1.1
$B \rightarrow D \ell \nu$ form factor	0.4
$B \rightarrow D^* \ell \nu$ form factor	0.7
$B \rightarrow D^{**} \ell \nu$ form factor	0.9
$B \rightarrow D^{**}$ reweighting	1.9
m_{ES} background subtraction	1.9
combinatorial backg.	1.0
Total semileptonic BF	1.4
Total systematic uncertainty	8.4
Total experimental uncertainty	11.0

MC Mix of res. and non-resonant processes
non-resonant X_u fragmented via JETSET / Pythia

$p_\ell^{*B} > 1.0$ GeV	$\Delta\mathcal{B}/\mathcal{B}$ (%)
$\mathcal{B}(D^{(*)} \ell \nu)$	1.2
$(D^{(*)} \ell \nu)$ form factors	1.2
$\mathcal{B}(D^{**} e \nu)$ & form factors	0.2
$B \rightarrow X_u \ell \nu$ (SF)	3.6
$B \rightarrow X_u \ell \nu$ ($g \rightarrow s\bar{s}$)	1.5
$\mathcal{B}(B \rightarrow \pi/\rho/\omega \ell \nu)$	2.3
$\mathcal{B}(B \rightarrow \eta, \eta' \ell \nu)$	3.2
$\mathcal{B}(B \rightarrow X_u \ell \nu)$ un-meas.	2.9
Cont./Comb.	1.8
Sec./Fakes/Fit.	1.0
PID/Reconstruction	3.1
BDT	3.1
Systematics	8.1
Statistics	8.8



More about how this is made:

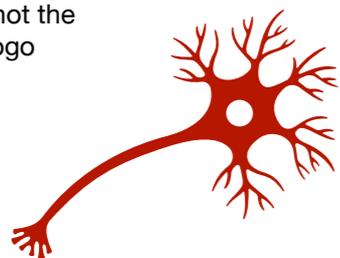
<https://indico.fnal.gov/event/44316/contributions/190792/attachments/132360/162611/Talk.pdf>

Future directions:

Focus on experimental **most sensitive region** (high E_ℓ^B)

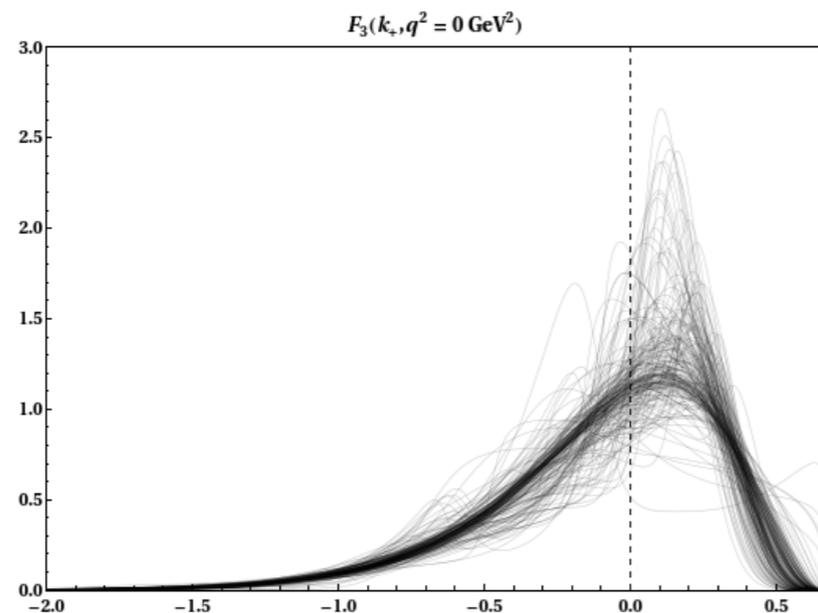
Determine Shape-Function in a data-driven way

Note: this is not the
NNVub Logo

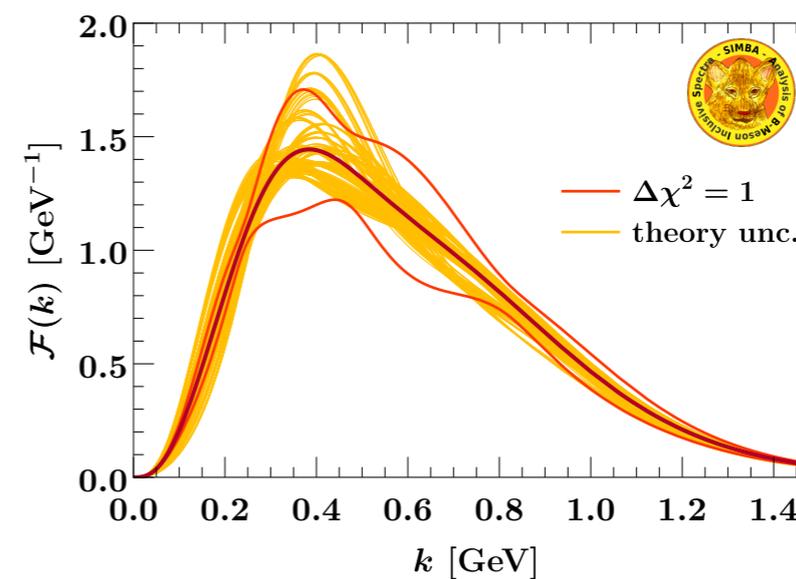


NNVub

P. Gambino, K. Healey, C. Mondino,
Phys. Rev. D 94, 014031 (2016),
[arXiv:1604.07598]



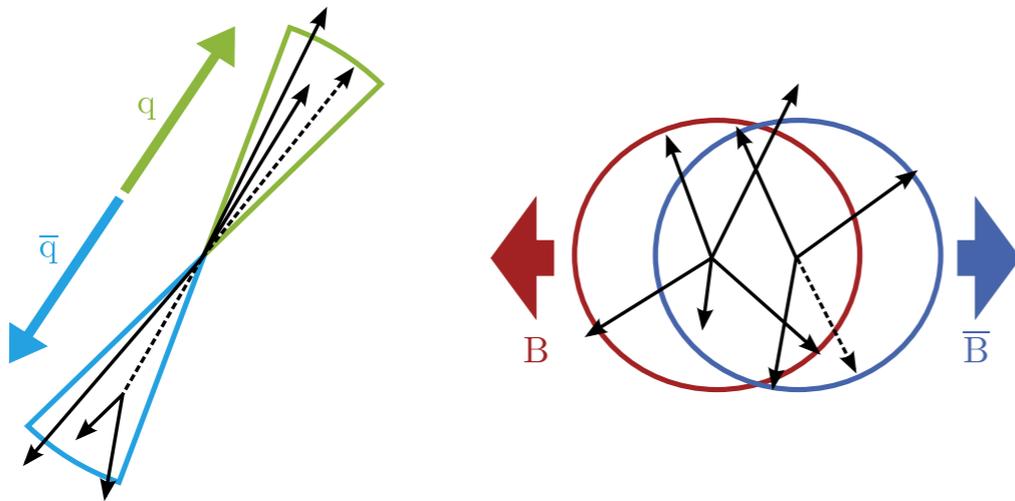
F. Bernlochner, H. Lacker, Z. Ligeti, I.
Stewart, F. Tackmann, K. Tackmann
Submitted to PRL
[arXiv:2007.04320]



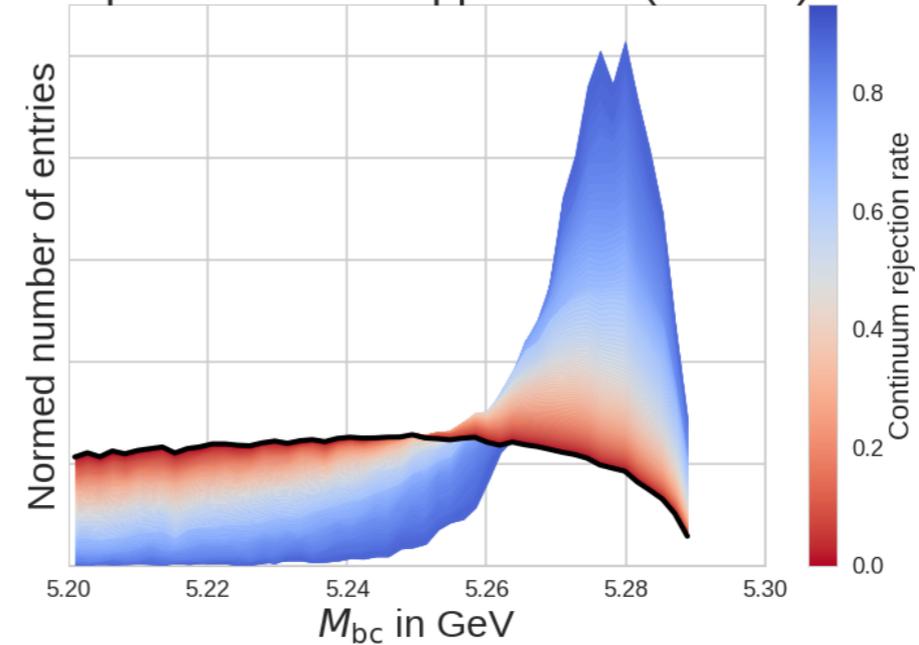
Future directions:

$$M_{bc} = \sqrt{E_{\text{beam}}^2 - p_B^2}$$

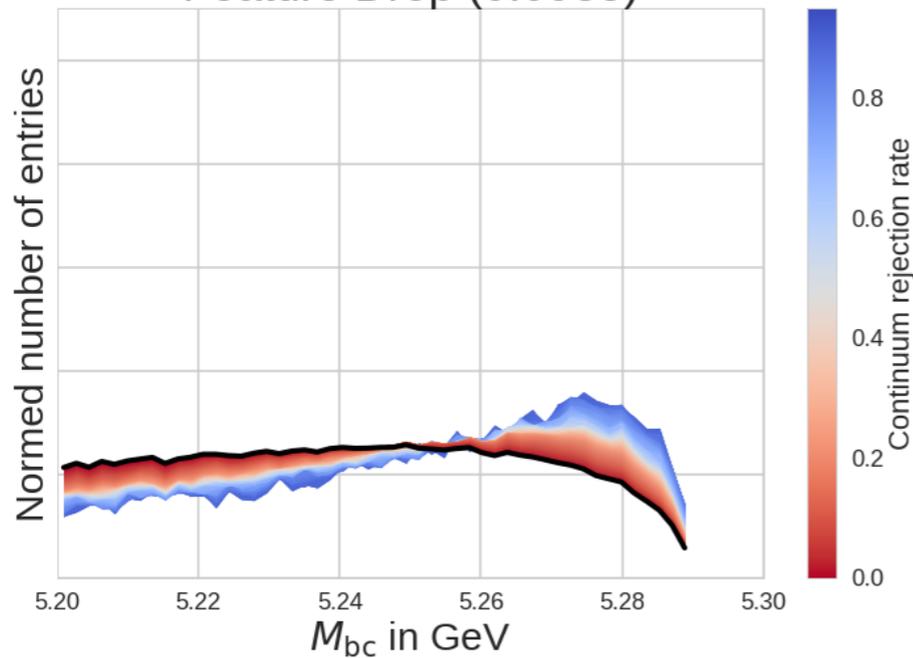
Avoid Efficiency shaping



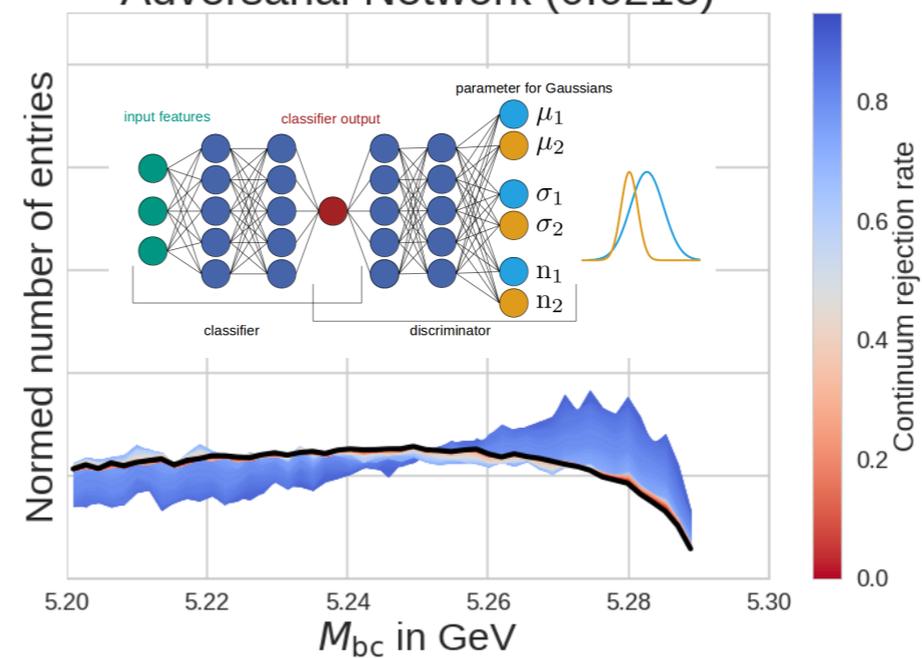
Deep Continuum Suppression (0.2013)



Feature Drop (0.0935)



Adversarial Network (0.0213)

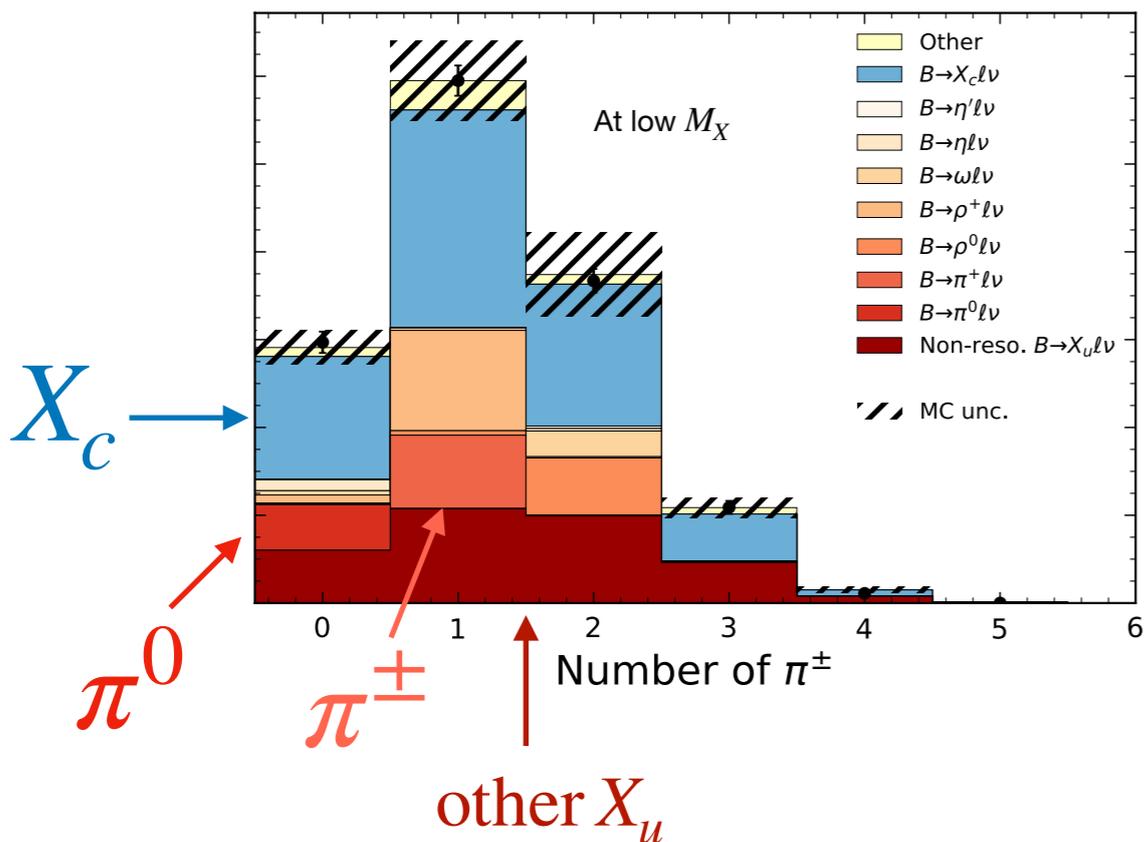




Combined incl. and excl. $|V_{ub}|$



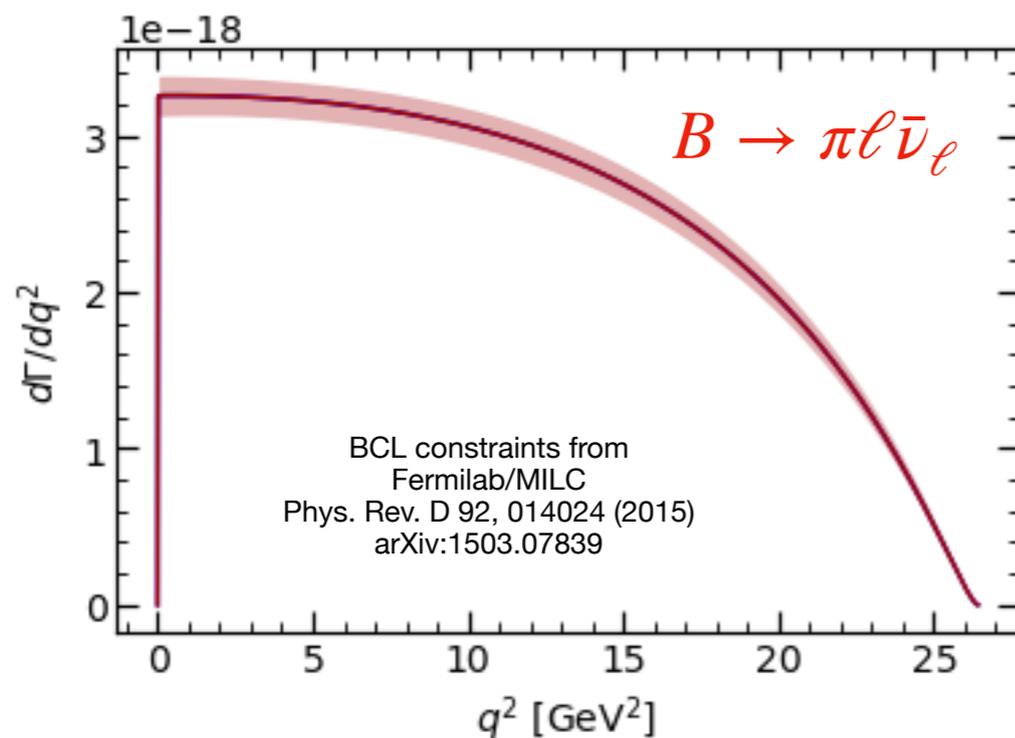
Can we measure both at the same time?



$$\Delta \mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell) = \Delta \mathcal{B}(B \rightarrow \pi \ell \bar{\nu}_\ell) + \Delta \mathcal{B}(B \rightarrow X_u^{\text{other}} \ell \bar{\nu}_\ell)$$

$$\mathcal{B}(B \rightarrow \pi \ell \bar{\nu}_\ell)$$

$$\frac{|V_{ub}^{\text{excl.}}|}{|V_{ub}^{\text{incl.}}|} = \frac{\sqrt{\frac{\mathcal{B}(B \rightarrow \pi \ell \bar{\nu}_\ell)}{\Gamma(B \rightarrow \pi \ell \bar{\nu}_\ell)}}}{\sqrt{\frac{\Delta \mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell)}{\Delta \Gamma(B \rightarrow X_u \ell \bar{\nu}_\ell)}}$$



Use $q^2 : N_{\pi^\pm}$ to separate

$$B \rightarrow \pi^0 \ell \bar{\nu}_\ell$$

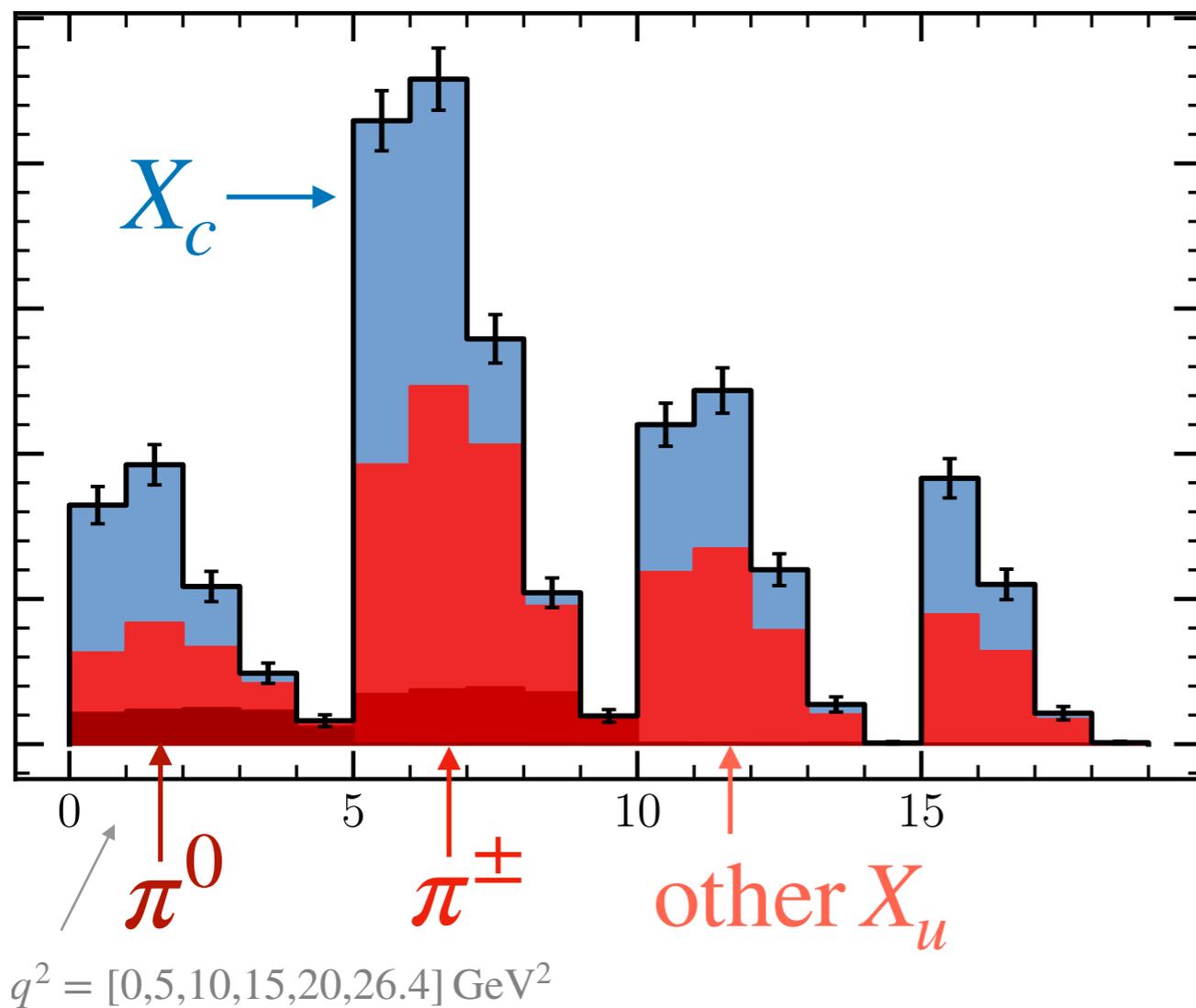
$$B \rightarrow \pi^\pm \ell \bar{\nu}_\ell$$

$$B \rightarrow X_u^{\text{other}} \ell \bar{\nu}_\ell$$

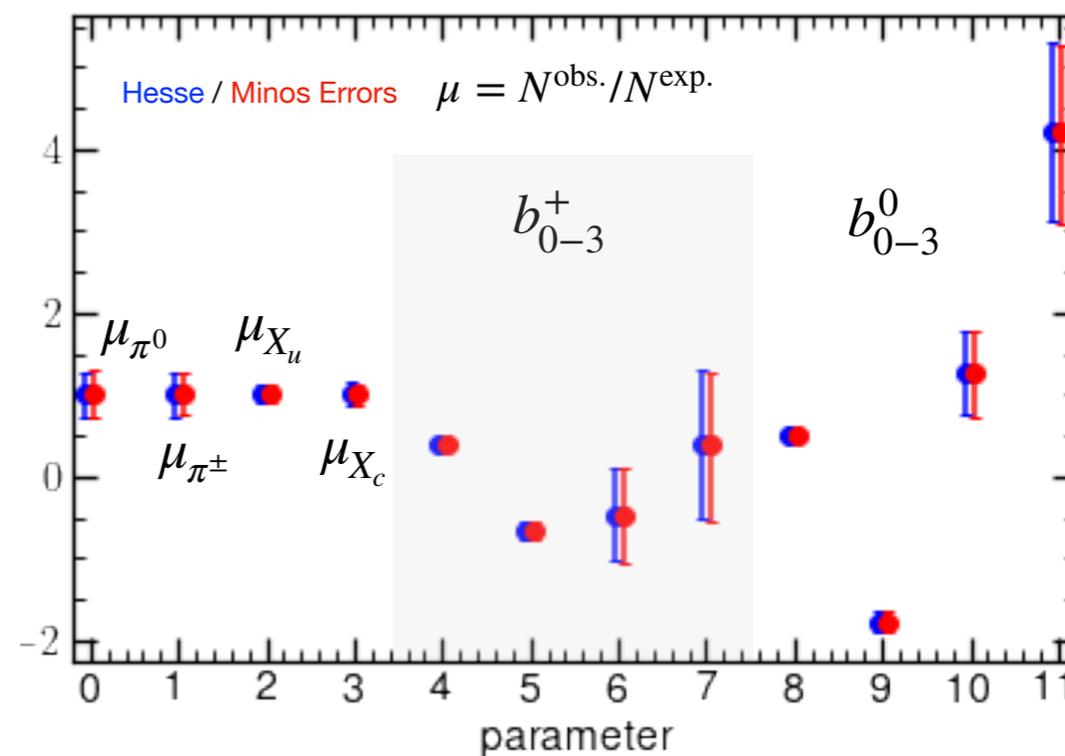
$$B \rightarrow X_c \ell \bar{\nu}_\ell + \text{other Bkg.}$$

Asimov Fit

$N_{\pi^\pm} = 0$ $N_{\pi^\pm} = 1$ $N_{\pi^\pm} = 2$ $N_{\pi^\pm} \geq 3$



Fit Setup: $\chi^2 = \chi_{\text{exp}}^2 + \chi_{\text{FNAL}}^2$



μ_{π^0}	μ_{π^\pm}	μ_{X_u}	μ_{X_c}	b_{0-3}^+			b_{0-3}^0			b_{0-3}^-		
1.00	0.51	0.39	-0.61	0.13	0.55	0.63	0.57	0.23	0.54	0.64	0.43	
0.51	1.00	0.10	-0.40	0.17	0.58	0.58	0.51	0.22	0.52	0.62	0.41	
0.39	0.10	1.00	-0.89	0.03	0.35	0.53	0.49	0.18	0.40	0.50	0.34	
-0.61	-0.40	-0.89	1.00	-0.09	-0.54	-0.70	-0.65	-0.25	-0.57	-0.69	-0.46	
0.13	0.17	0.03	-0.09	1.00	0.35	-0.10	-0.16	0.24	0.20	0.10	0.04	
0.55	0.58	0.35	-0.54	0.35	1.00	0.44	0.29	0.21	0.60	0.65	0.44	
0.63	0.58	0.53	-0.70	-0.10	0.44	1.00	0.97	0.29	0.66	0.87	0.62	
0.57	0.51	0.49	-0.65	-0.16	0.29	0.97	1.00	0.30	0.65	0.80	0.47	
0.23	0.22	0.18	-0.25	0.24	0.21	0.29	0.30	1.00	0.24	0.07	-0.10	
0.54	0.52	0.40	-0.57	0.20	0.60	0.66	0.65	0.24	1.00	0.60	0.08	
0.64	0.62	0.50	-0.69	0.10	0.65	0.87	0.80	0.07	0.60	1.00	0.70	
0.43	0.41	0.34	-0.46	0.04	0.44	0.62	0.47	-0.10	0.08	0.70	1.00	

Individual components seem to separate well in Asimov with made-up (but semi-realistic) distributions



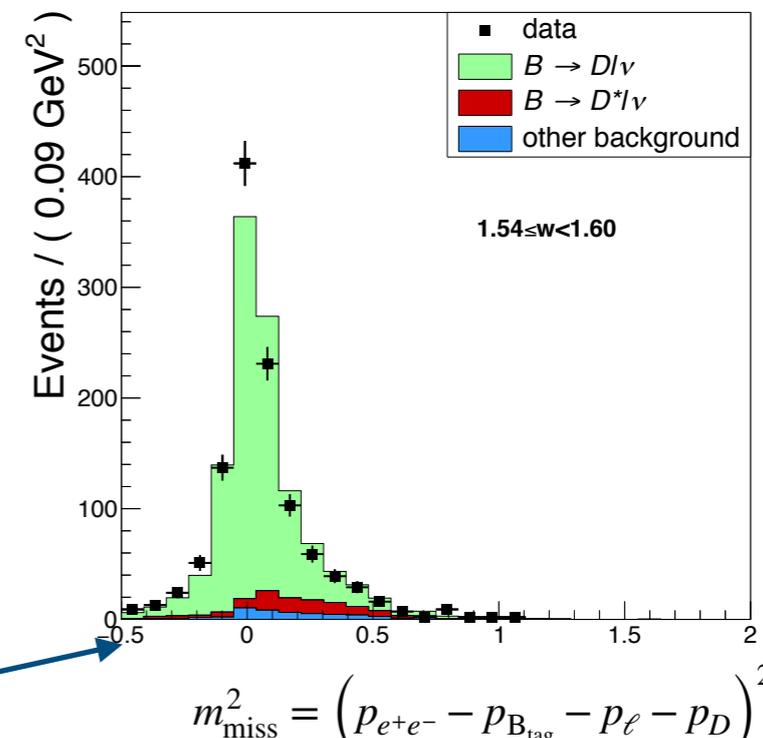
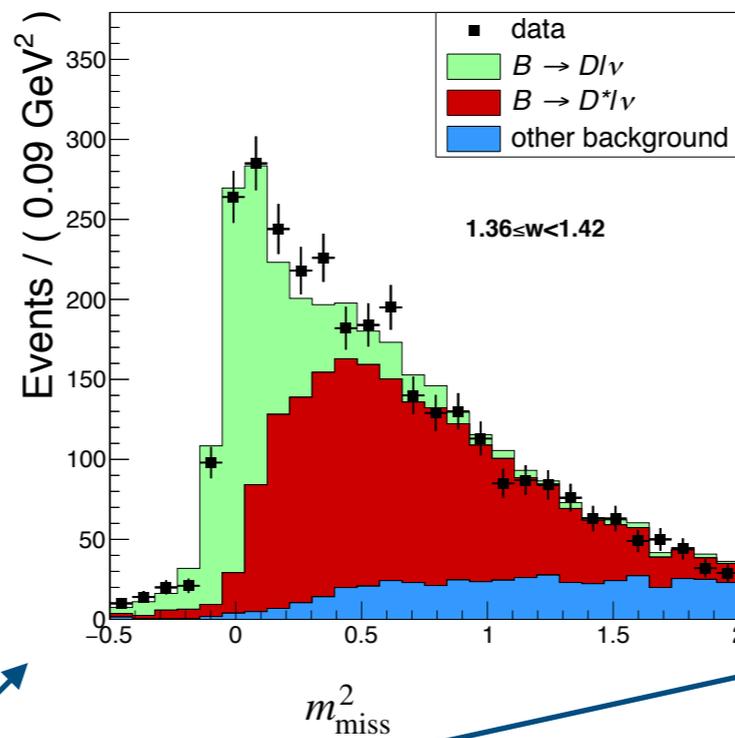
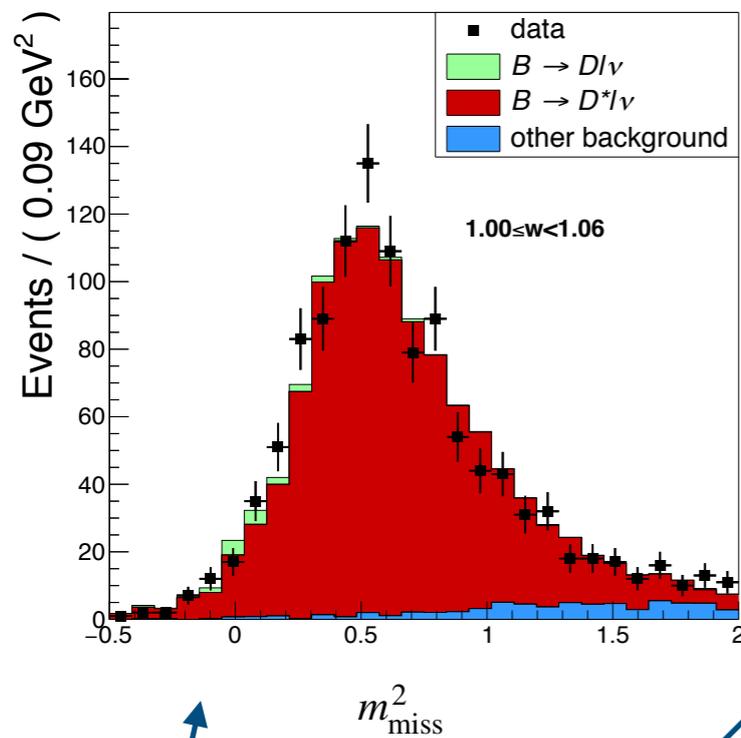
Exclusive $|V_{ub}|$ and $|V_{cb}|$



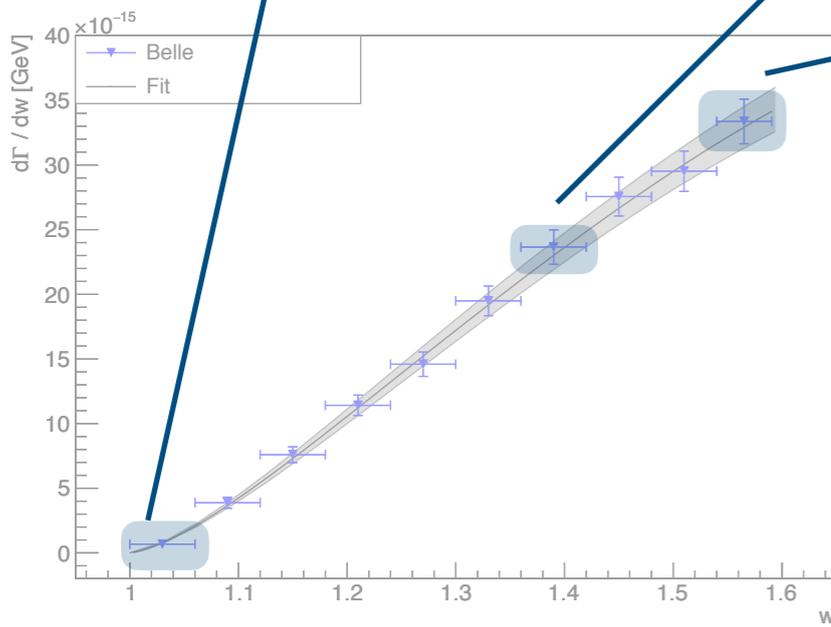
Combined Measurements of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

(Tagged) Measurements of $B \rightarrow D \ell \bar{\nu}_\ell$ suffer from large down-feed from $B \rightarrow D^* \ell \bar{\nu}_\ell$

Phys. Rev. D 93, 032006 (2016), arXiv:1510.03657

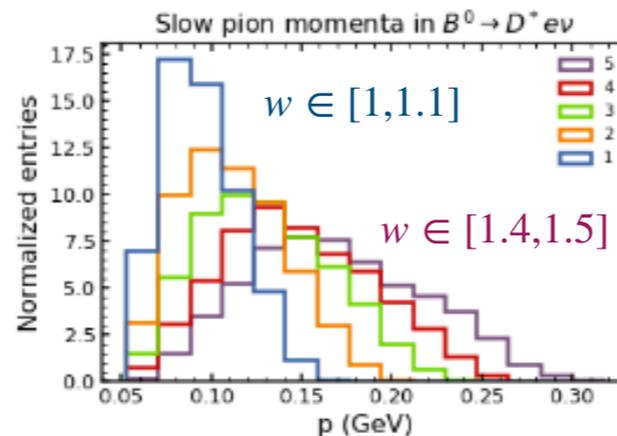


$$m_{\text{miss}}^2 = (p_{e^+e^-} - p_{B_{\text{tag}}} - p_\ell - p_D)^2$$

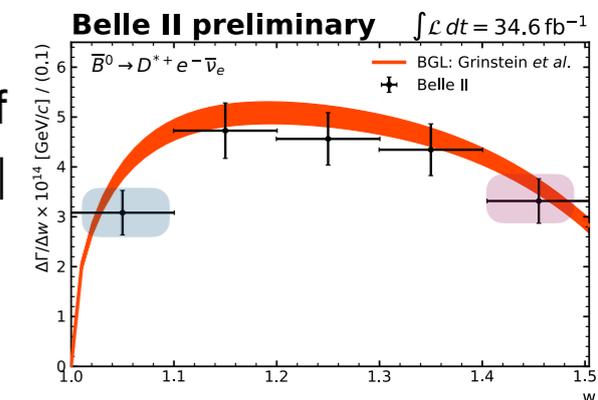


Thus far **unexploited** data set to measure w spectrum of $B \rightarrow D^* \ell \bar{\nu}_\ell$

- w spectrum encodes most sensitivity of all projected spectra
- no slow pion reconstruction necessary



Especially the region of low w (important for $|V_{cb}|$) has very soft π_s :
 $p_\pi \approx 70 \text{ MeV}$



Combined Fits of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

Phys. Rev. D 95, 115008 (2017),
[arXiv:1703.05330]

Interesting if heavy quark symmetry
inspired Form Factors are used:

$$\hat{h}(w) = h(w)/\xi(w) \quad \leftarrow \text{Leading Isgur-Wise function}$$

$B \rightarrow D \ell \bar{\nu}_\ell$
 $B \rightarrow D^* \ell \bar{\nu}_\ell$

$$\hat{h}_+ = 1 + \hat{\alpha}_s \left[C_{V_1} + \frac{w+1}{2} (C_{V_2} + C_{V_3}) \right] + (\varepsilon_c + \varepsilon_b) \hat{L}_1,$$

$$\hat{h}_- = \hat{\alpha}_s \frac{w+1}{2} (C_{V_2} - C_{V_3}) + (\varepsilon_c - \varepsilon_b) \hat{L}_4,$$

$$\hat{h}_V = 1 + \hat{\alpha}_s C_{V_1} + \varepsilon_c (\hat{L}_2 - \hat{L}_5) + \varepsilon_b (\hat{L}_1 - \hat{L}_4),$$

$$\hat{h}_{A_1} = 1 + \hat{\alpha}_s C_{A_1} + \varepsilon_c \left(\hat{L}_2 - \hat{L}_5 \frac{w-1}{w+1} \right) + \varepsilon_b \left(\hat{L}_1 - \hat{L}_4 \frac{w-1}{w+1} \right),$$

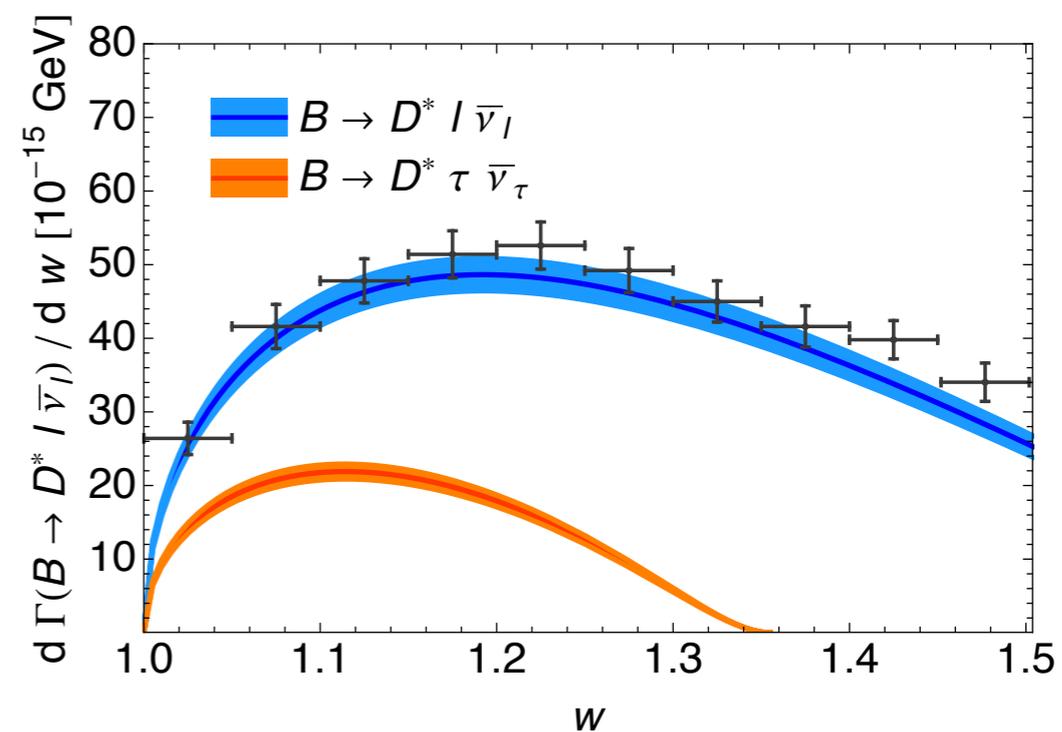
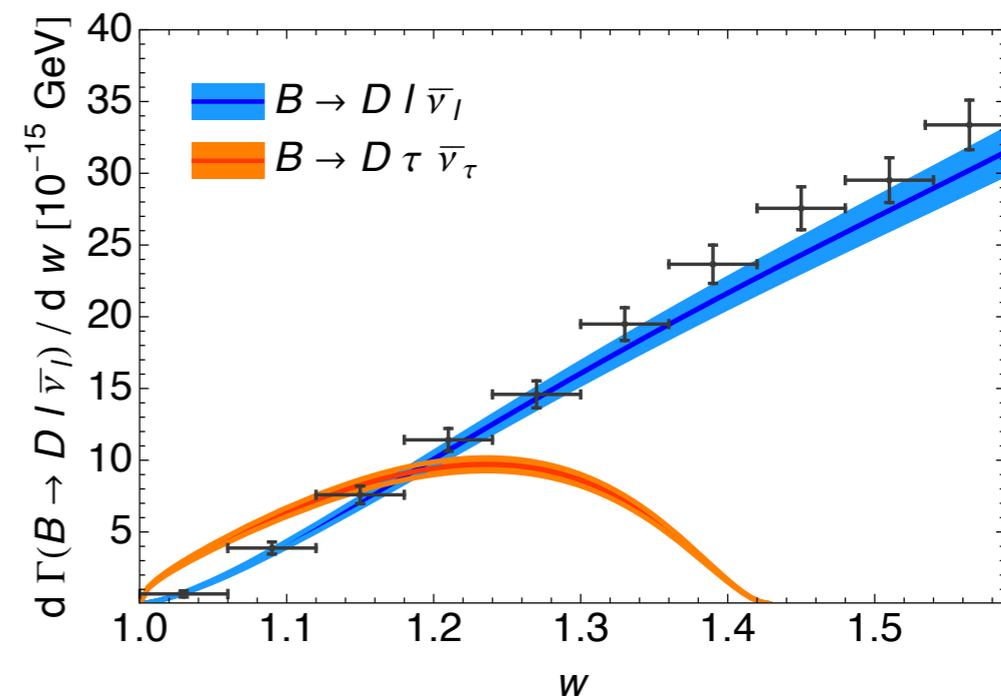
$$\hat{h}_{A_2} = \hat{\alpha}_s C_{A_2} + \varepsilon_c (\hat{L}_3 + \hat{L}_6),$$

$$\hat{h}_{A_3} = 1 + \hat{\alpha}_s (C_{A_1} + C_{A_3}) + \varepsilon_c (\hat{L}_2 - \hat{L}_3 + \hat{L}_6 - \hat{L}_5) + \varepsilon_b (\hat{L}_1 - \hat{L}_4),$$

This links dynamics of
 $B \rightarrow D \ell \bar{\nu}_\ell$ & $B \rightarrow D^* \ell \bar{\nu}_\ell$

Example fit for leading IW
function and sub-leading
parameters

$ V_{cb} \times 10^3$	38.8 ± 1.2
$\mathcal{G}(1)$	1.055 ± 0.008
$\mathcal{F}(1)$	0.904 ± 0.012
ρ_*^2	1.17 ± 0.12
$\hat{\chi}_2(1)$	-0.26 ± 0.26
$\hat{\chi}'_2(1)$	0.21 ± 0.38
$\hat{\chi}'_3(1)$	0.02 ± 0.07
$\eta(1)$	0.30 ± 0.04
$\eta'(1)$	0 (fixed)
m_b^{1S} [GeV]	4.70 ± 0.05
δm_{bc} [GeV]	3.40 ± 0.02



Careful with unitarity constraints in experimental Fits

Unitarity constraints are interesting ingredients to incorporate into fits, but one has to be careful

$$g(z) = \frac{1}{P_V(z)\phi_g(z)} \sum_n a_n^g z^n, \quad \sum_n |a_n^g|^2 \leq 1,$$

$$F_A(z) = \frac{1}{P_A(z)\phi_{F_A}(z)} \sum_n a_n^{F_A} z^n, \quad \sum_{F_A, n} |a_n^{F_A}|^2 \leq 1,$$

Two problems:

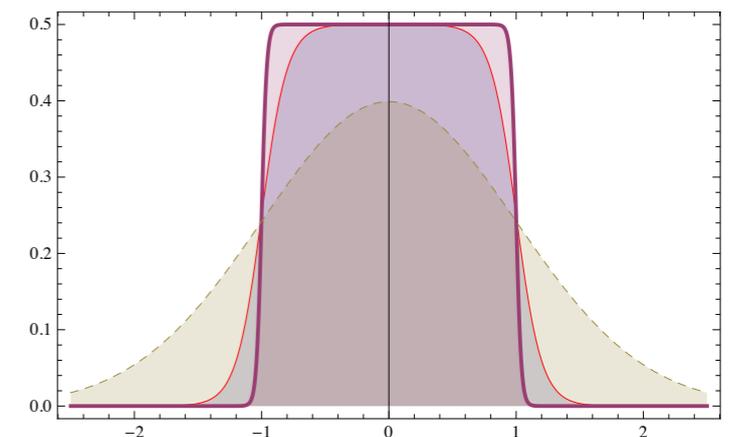
- 1) If included, they can strongly constrain higher order terms (a priori fine); but one has to be careful as the uncertainties on these will then **highly depend** on the **prior probability**.

At best this introduces an **undesired dependence on prior**, **at worst** it could **bias** results.

- 2) If one averages several results, such UT constraints should be included **only once** (as otherwise one starts to use this prior n times if one averages n measurements). **Safest way** is if **measurements** provide **results** always (also) **without UT constraints** applied to keep them **“future proof”**

Possible prior choices to enforce that the quadratic sum of parameters remains smaller than unity

Commonly used constraints are: Gaussian, Double Fermi Dirac (DFD) with $w = 10$, DFD with $w = 50$



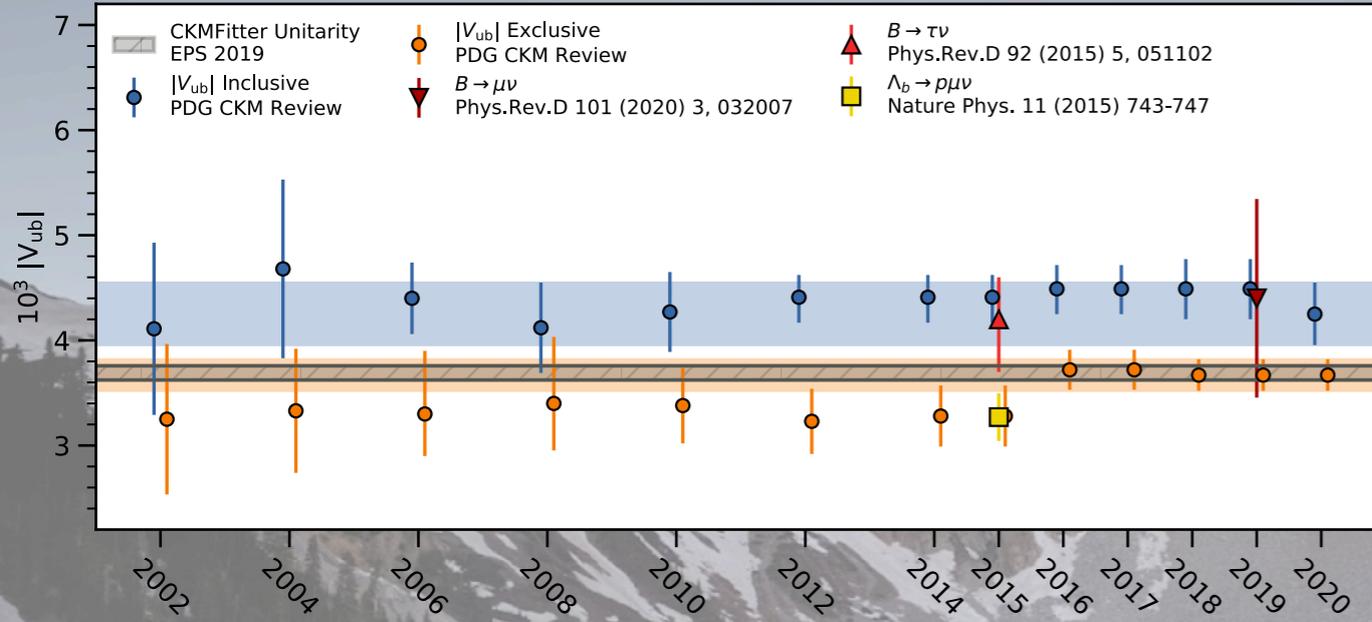
$$DFD(x, w) = 1 / (2 (1 + e^{w(x-1)}) (1 + e^{-w(x+1)}))$$

→ Nice features of DFD: Pull on NP within one-sigma with negligible penalization in probability; pulls larger than one sigma penalized heavily

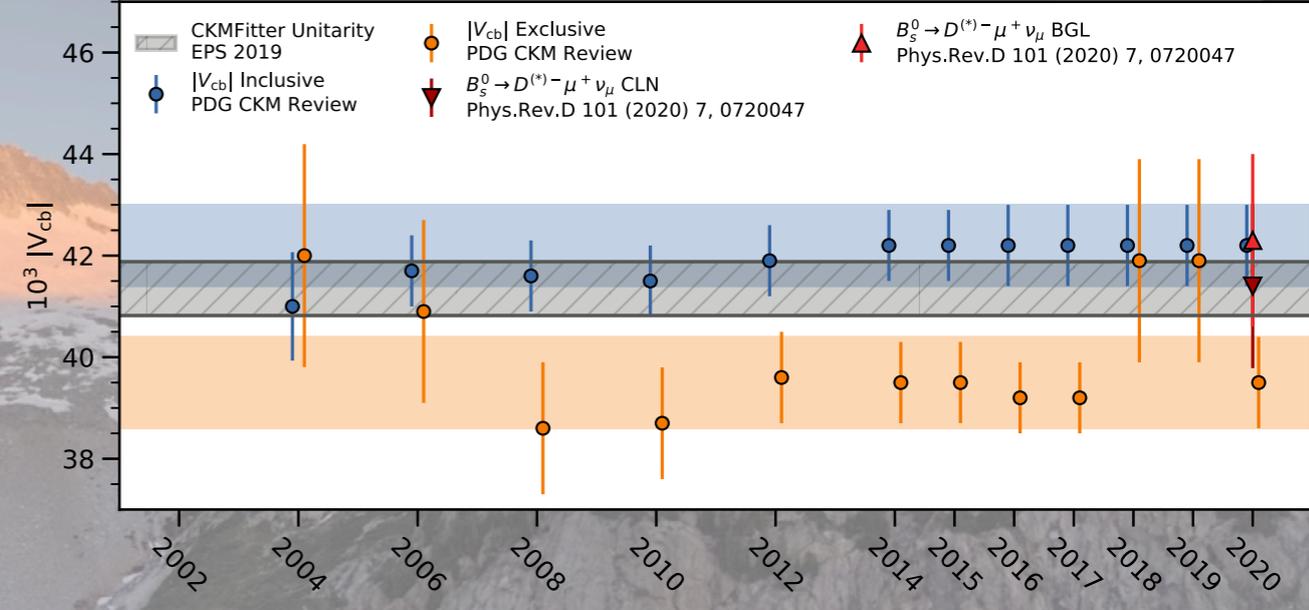
Wrap-Up

Vxb over time: Markus Prim

$|V_{ub}|$ Measurements over Time

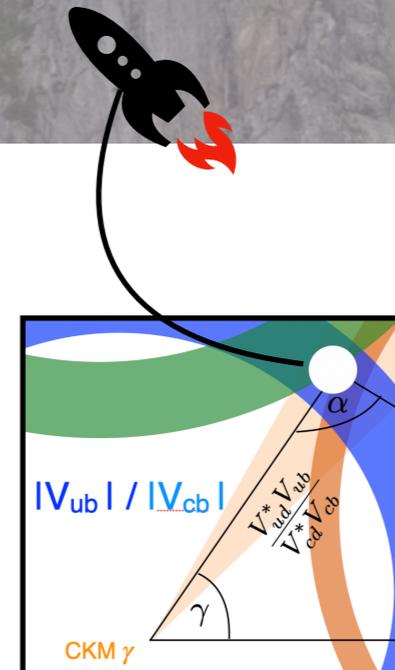


$|V_{cb}|$ Measurements over Time



LHCb and Belle II will record unprecedented data sets in the next decade

This will allow many new directions; we should carefully rethink the established methods



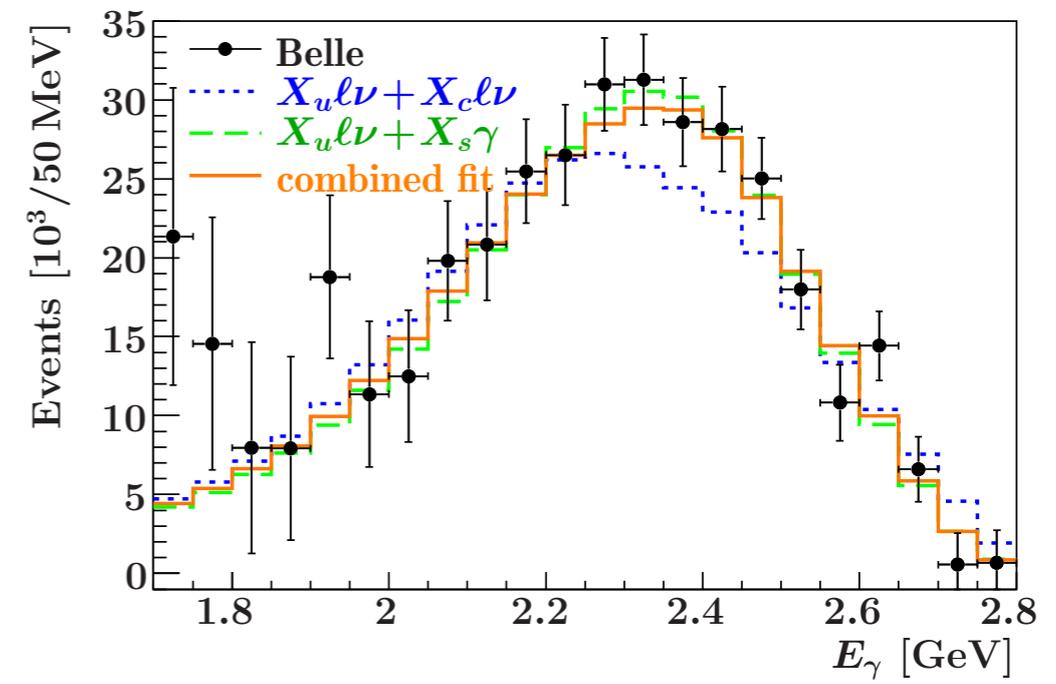
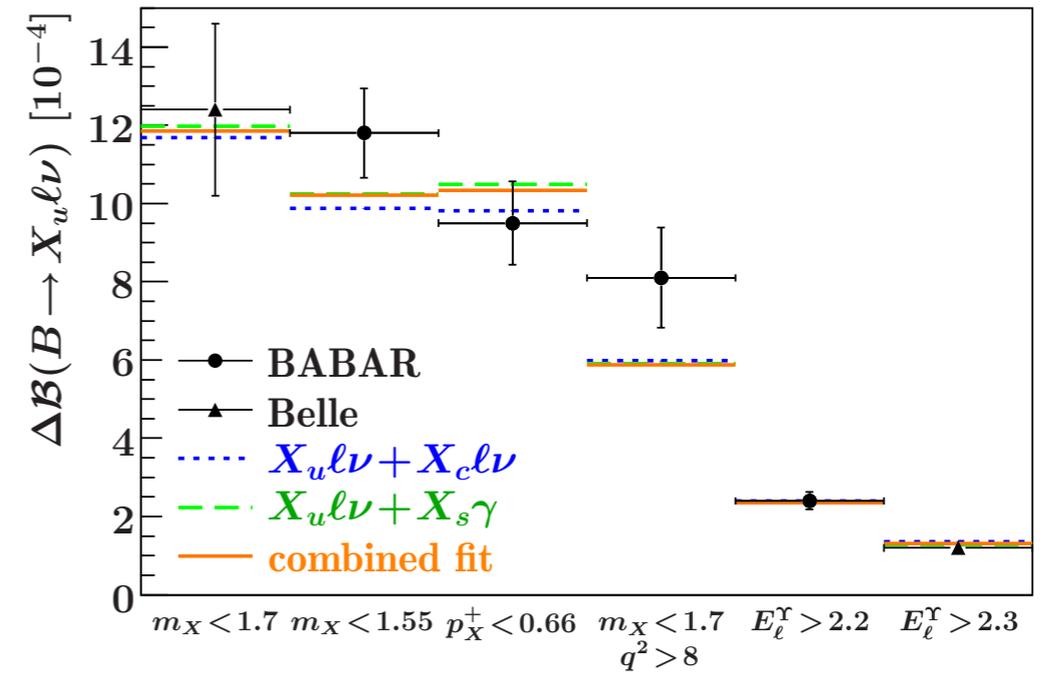
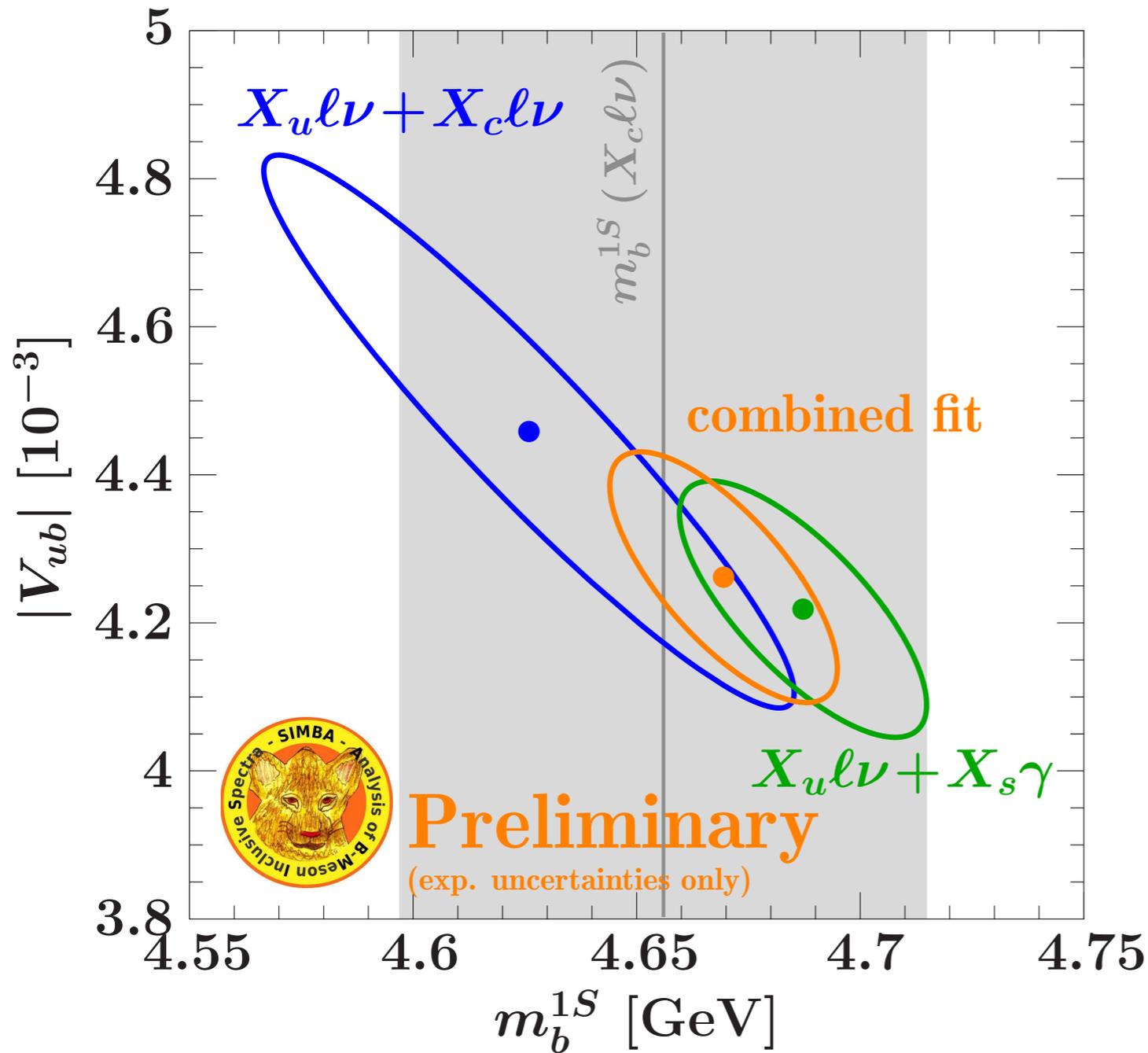
Example implementation for $b \rightarrow u \ell \bar{\nu}_\ell$ Hybrid
<https://github.com/b2-hive/eFFORT>



Example implementation for HQET FFs:
<https://hammer.physics.lbl.gov/>

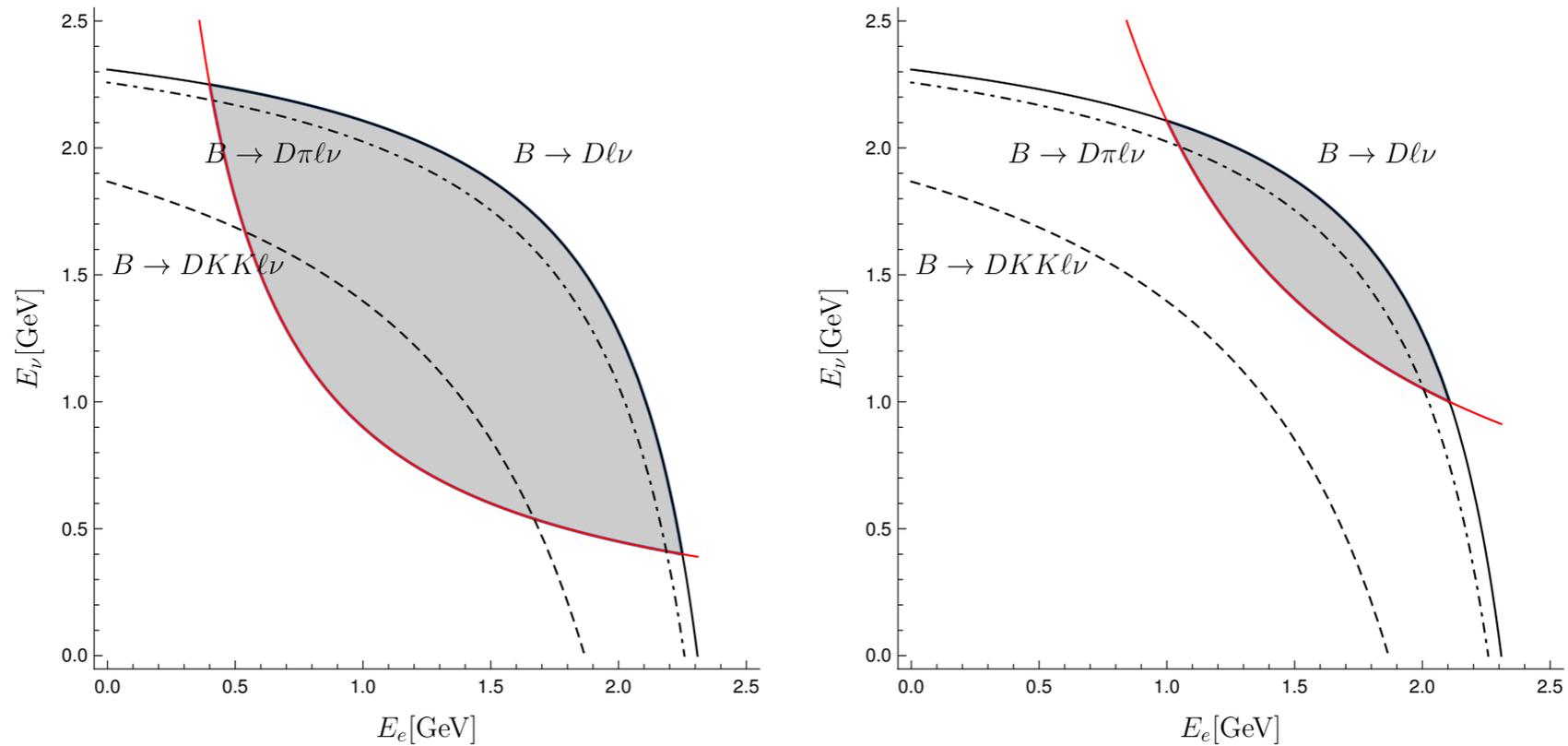
Also check out RooHammerModel:
<https://arxiv.org/abs/2007.12605>

More Information



● No theory uncertainties yet

Wrong E_γ spectrum without $B \rightarrow X_s \gamma$



M. Fael, T. Mannel, K. Vos, JHEP 2019, Article number: 177 (2019), [arXiv:1812.07472]

New results from Belle II
 expected this summer; first
 time $|V_{cb}|$ from q^2 -Moments

Inclusive $|V_{cb}|$